

Attorney Docket No. 51959 (ACT-173)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: M. Dautartas

U.S.S.N.: 10/013,084

Art Unit: 2874

FILED: December 10, 2001

Examiner: Daniel J. Petkovsek

FOR: OPTICAL DEVICE PACKAGE FOR FLIP-CHIP MOUNTING

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 2/11/04.

By: Deanna M. Rivernider  
Deanna M. Rivernider

**SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

**I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION**

The patents, publications or other information submitted for consideration by the Examiner are listed on the PTO-1449 form, attached hereto. The documents submitted were not previously cited.

In addition to the aforesaid references, Applicants wish to bring to the attention of the Examiner U.S. Application Serial No. 09/999,517, filed October 24, 2001 which application is assigned to the Assignee of the subject application. By citation of this application, secrecy thereof is not waived.

**II. COPIES**

Submitted herewith is a legible copy of (i) each U.S and foreign patent or English abstract of foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.

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**III. CONCISE EXPLANATION OF THE RELEVANCE**

All of the patents, publications or other information are in the English language or were cited in an English language Search Report, a copy of which is attached hereto (concise explanation not required).

**FEEs**

**IV. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(b)**

(check one box)

- a. ☐ within three months of the filing date of a national application (37 C.F.R. § 1.97(b) (1)). No fee or certification is required.
- b. ☐ within three months of the date of entry of the national stage as set forth in §1.491 in an international application (37 C.F.R. § 1.97(b) (2)). No fee or certification is required.
- c. ☐ before the mailing date of a first Action on the merits (37 C.F.R. § 1.97(b) (3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e) below, or, if no certification has been made, charge our deposit account a fee in the amount of \$240.00 as required by 37 C.F.R. § 1.17(p).

**V. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(c):**

(check one box)

before the mailing date of a Final Office Action under 37 C.F.R. § 1.113 (See 37 C.F.R. § 1.97(c) (1)) or before the mailing date of a Notice of Allowance under 37 C.F.R. § 1.311 (See 37 C.F.R. § 1.97(c) (2)).

- a. ☒ No certification; therefore, a fee in the amount of \$180.00 is required by 37 C.F.R. . § 1.17(p).
- or
- b. ☐ See the certification below. No fee is required.

**VI. CERTIFICATION UNDER 37 C.F.R. § 1.97(e) (check only one box)**

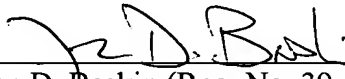
The undersigned hereby certifies that

- a. ☐ each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS;  
or

- b. ☐ no item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.
- c. ☐ Some of the items of information were cited in a communication from a foreign Patent Office. As to this information, the undersigned certifies that each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.
- ☒ Enclosed please find a check in the amount of \$ 180.00 for the above indicated fee.
- ☐ Please charge Deposit Account No. 04-1105 in the amount of \$180.00 for the above-indicated fee. A triplicate copy of this paper is attached.
- ☐ No fee is required.

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition, if necessary) and charge the appropriate fee to Deposit Account No. 04-1105.

Respectfully submitted,

  
Jonathan D. Baskin (Reg. No. 39,499)  
EDWARDS & ANGELL, LLP  
P.O. Box 55874  
Boston, MA 02205  
(508) 787-4766



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**LIST OF ART CITED BY APPLICANT**

(Use several sheets if necessary)

Atty Docket No. <b>51959 (ACT-173)</b>	Serial No. <b>10/013,084</b>
Applicant <b>Mindaugas F. DAUTARTAS</b>	
Filing Date <b>December 10, 2001</b>	Group <b>2874</b>

**U.S. Patent Documents**

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	Class/Subclass	FILING DATE IF APPROPRIATE
	AA	2001/0022382	09/20/2001	Shook		
	AB	2002/0090749	07/11/2002	Simmons		
	AC	2002/0113296	08/22/2002	Cho et al.		
	AD	2003/0071283	04/17/2003	Heschel		
	AE	2003/0081914	05/01/2003	Steinberg et al.		
	AF	2004/0012083	01/22/2004	Farrell et al.		
	AG	3,404,215	10/01/1968	Burks et al.		
	AH	3,768,991	10/30/1973	Rogers		
	AI	3,874,549	04/01/1975	Hascoe		
	AJ	4,065,203	12/27/1977	Goell et al.		
	AK	4,199,222	04/22/1980	Ikushima et al.		

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	Class/Subclass	Translation Yes/No
	AL					
	AM					
	AN					
	AO					
	AP					

**OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)**

	AQ	
	AR	
	AS	

Examiner

Date Considered

\*EXAMINER: Initial document considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.



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EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	Class/Subclass	FILING DATE IF APPROPRIATE
	AT	4,270,134	05/26/1981	Takeda et al.		
	AU	4,791,075	12/13/1988	Lin		
	AV	4,802,952	02/07/1989	Kobori et al.		
	AW	4,818,058	04/04/1989	Bonanni		
	AX	4,897,711	01/30/1990	Blonder et al.		
	AY	4,907,065	03/06/1990	Sahakian		
	AZ	5,323,051	06/21/1994	Adams et al.		
	BA	5,454,055	09/26/1995	Kragl et al.		
	BB	5,475,778	12/12/1995	Webb		
	BC	5,550,398	08/27/1996	Kocian et al.		
	BD	5,557,695	09/17/1996	Yamane et al.		

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	Class/Subclass	Translation Yes/No
	BE					
	BF					
	BG					
	BHI					
	BI					

**OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)**

	BJ	
	BK	
	BL	

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EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	Class/Subclass	FILING DATE IF APPROPRIATE
	BM	5,604,160	02/18/1997	Warfield		
	BN	5,798,557	08/25/1998	Salatino et al.		
	BO	5,812,571	09/22/1998	Peters		
	BP	5,915,168	06/22/1999	Salatino et al.		
	BQ	5,917,976	06/29/1999	Yamaguchi		
	BR	5,966,488	10/12/1999	Miura et al.		
	BS	6,056,448	05/02/2000	Sauter et al.		
	BT	6,106,735	08/22/2000	Kurle et al.		
	BU	6,222,967	04/24/2001	Amano et al.		
	BV	6,318,910	11/20/2001	Higashikawa		
	BW	6,376,280	04/23/2002	Ruby et al.		

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	Class/Subclass	Translation Yes/No
	BX					
	BY					
	BZ					
	CA					
	CB					

**OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)**

	CC	
	CD	
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EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	Class/Subclass	FILING DATE IF APPROPRIATE
	CF	6,441,481	08/27/2002	Karpman		
	CG	6,452,238	09/17/2002	Orcutt et al.		
	CH	6,611,001	08/26/2003	Cappuzzo et al.		
	CI	6,680,491	01/20/2004	Nakanishi et al.		
	CJ					
	CK					
	CL					
	CM					
	CN					
	CO					
	CP					

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	Class/Subclass	Translation Yes/No
	CQ					
	CR					
	CS					
	CT					
	CU					

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